

Modified theories of gravity - a unified approach to metric and metric-affine models

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Talk is split into 3 main topics

- Foundations of General Relativity and going beyond GR
- Models of modified gravity
- A neat cosmological application

Mathematics of GR – Differential Geometry

The standard formulation of GR is based on various assumptions:

- 1 4-dimensional Lorentzian manifold
- 2 metric structure g
- 3 connection $\bar{\Gamma}$, or equivalently, a covariant derivative $\bar{\nabla}$
- 4 derivative is metric compatible $\nabla_{\alpha} g_{\mu\nu} = 0$
- 5 derivative is torsion-free $(\nabla_{\alpha} \nabla_{\beta} - \nabla_{\beta} \nabla_{\alpha}) f = T_{\alpha\beta}{}^{\gamma} \partial_{\gamma} f = 0$
- 6 action linear in curvature

Mathematics of GR – doing more I

Let's dissect these assumptions a bit:

① 4 dimensions → let's have more!

- 1920s Kaluza and Klein, 1 extra spatial dimension, twistors – 4 complex dimensions (=8), bosonic string theory – 26 dimensions, superstring theory – 10 dimensions

③ Connection: Why $\nabla_{\alpha}g_{\mu\nu} = 0$? $T_{\alpha\beta}{}^{\gamma} = 0$?

- 1920s Einstein-Cartan theory, also Einstein–Cartan–Sciama–Kibble theory
1960s ($\nabla_{\alpha}g_{\mu\nu} = 0$), late 1920s teleparallel gravity $GR_{||}$, again ($\nabla_{\alpha}g_{\mu\nu} = 0$),
1960s Møller, Pellegrini, Plebanski, Hayashi, Nakano; New teleparallel gravity
theory or new general relativity ($\nabla_{\alpha}g_{\mu\nu} = 0$), late 1970s Metric-affine theories
($\nabla_{\alpha}g_{\mu\nu} \neq 0$, $T_{\alpha\beta}{}^{\gamma} \neq 0$)

Mathematics of GR – doing more II

6 Action linear in curvature $S_{\text{EH}} = \int R\sqrt{-g} d^4x$

- 1970 Buchdahl ‘Non-linear Lagrangians ...’; 1983 Barrow & Ottewill $f(R)$ cosmology, 1980 Starobinsky, working on inflation; (other than Starobinsky’s inflation model these models were largely ignored), late 1990s dark energy, early 2000s $f(R)$ gravity, 2007 $f(T)$ gravity, 2015 $f(T, B)$ gravity [CGB et al], 2017 $f(Q)$ gravity, 2019 $f(G)$ gravity,
- 2021 onward: f of everything theories 😊😊😊

Mathematics of GR – equations of motion

- 1 in GR the twice contracted Bianchi identities imply the conservation equations $\nabla_{\alpha} T^{\alpha\beta} = 0$
- 2 in turn the conservation equations yield (is equivalent to) the equations of motion of matter
- 3 any theory beyond GR: equations of motion should be derived from its conservation laws
- 4 we cannot *postulate* geodesics or autoparallels or other curves

Action principle I

All theories discussed henceforth will be based on the action principle.

The Einstein field equations can be derived from the action

$$S_{\text{total}} = \underbrace{\frac{1}{2\kappa^2} \int R \sqrt{-g} d^4x}_{S_{\text{EH}}} + \underbrace{\int L_{\text{matter}}(g, \psi, \nabla\psi) \sqrt{-g} d^4x}_{S_{\text{matter}}}$$

- R Ricci scalar, g metric determinant, $\kappa^2 = 8\pi G/c^4$ gravitational coupling constant, $\sqrt{-g} d^4x$ volume element
- dynamical variable: metric g_{ij} , matter fields ψ

Action principle II - Einstein action

The Einstein field equations can also be derived from the Einstein action

$$S_{\text{total}} = \frac{1}{2\kappa^2} \int \underbrace{g^{\mu\nu} \left(\Gamma_{\mu\sigma}^{\lambda} \Gamma_{\lambda\nu}^{\sigma} - \Gamma_{\mu\nu}^{\sigma} \Gamma_{\lambda\sigma}^{\lambda} \right)}_{S_{\text{Einstein}}} \sqrt{-g} d^4x + S_{\text{matter}}$$

$$\mathbf{G} = g^{\mu\nu} \left(\Gamma_{\mu\sigma}^{\lambda} \Gamma_{\lambda\nu}^{\sigma} - \Gamma_{\mu\nu}^{\sigma} \Gamma_{\lambda\sigma}^{\lambda} \right)$$

- We have the identity $R = \mathbf{G} + \mathbf{B}$ where \mathbf{B} is a boundary term



Action principle III – matter couplings

The moment we write something like $L_{\text{matter}}(g, \psi, \nabla\psi)\sqrt{-g}d^4x$ we assume the *minimal* gravitational coupling procedure. This means

Minkowski	$\eta_{ij} \longrightarrow g_{ij}$	general metric
partial	$\partial_i \longrightarrow \nabla_i$	covariant, contains the connection

Non-minimal couplings contain terms which directly connect matter fields to geometrical quantities, examples are

	Brans-Dicke	ψR
	Bertolami, CGB, Lobo & Harko	$f_2(R)L_{\text{matter}}$
	Harko et al + various authors	$f(R, T) \quad T = g^{ij}T_{ij}^{(\text{matter})}$
	Gubitosi & Linder	$G^{ij}\nabla_i\psi\nabla_j\psi$ and many more



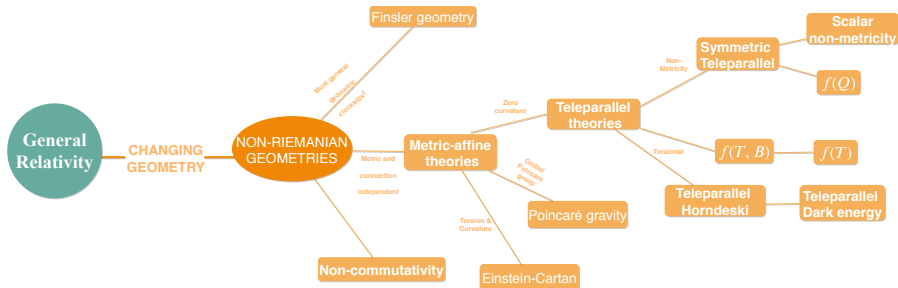
Action principle IV – matter couplings

Non-minimal couplings vanish in the limit of special relativity, no curvature etc.
Very hard to constrain using observations.

Couplings to *boundary and topological terms* are interesting. If \mathcal{G} is the Gauss-Bonnet term one can consider $f(\psi)\mathcal{G}$. When \mathcal{G} is added to the Einstein-Hilbert action it does not contribute to the field equations, \mathcal{G} is a topological term.

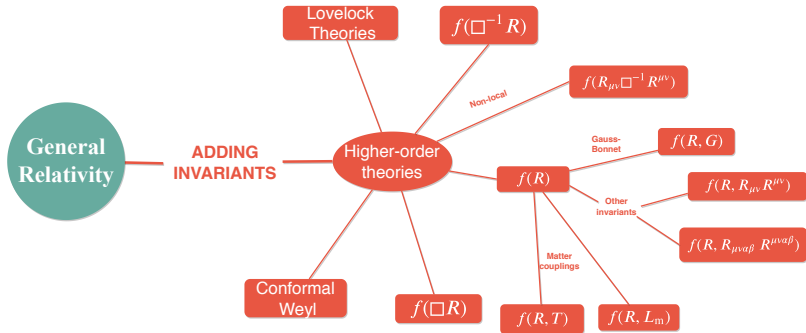
Likewise, if \mathcal{B} is a boundary term (not necessarily related to any topological information) then adding \mathcal{B} to the Einstein-Hilbert action also does not contribute to the field equations, one can again study terms like $f(\psi)\mathcal{B}$.

Modified gravity models I



Source: <https://arxiv.org/abs/2105.12582>

Modified gravity models II



Source: <https://arxiv.org/abs/2105.12582>

Modified gravity models III – our approach

The starting point of these theories is again the Einstein-Hilbert-like action

$$S_{\text{metric-affine}} = \frac{1}{2\kappa^2} \int \bar{R} \sqrt{-g} d^4x$$

The metric-affine Ricci scalar $\bar{R} = \bar{\mathbf{G}} + \bar{\mathbf{B}}$ can be written in two (there are others) different ways which are useful for our approach:

$$\bar{\mathbf{G}} := g^{\mu\lambda} (\bar{\Gamma}_{\kappa\rho}^{\kappa} \bar{\Gamma}_{\mu\lambda}^{\rho} - \bar{\Gamma}_{\mu\rho}^{\kappa} \bar{\Gamma}_{\kappa\lambda}^{\rho}) + (\bar{\Gamma}_{\mu\lambda}^{\mu} \delta_{\kappa}^{\nu} - \bar{\Gamma}_{\kappa\lambda}^{\nu}) (\partial_{\nu} g^{\kappa\lambda} - \frac{1}{2} g_{\alpha\beta} g^{\kappa\lambda} \partial_{\nu} g^{\alpha\beta})$$

$$\bar{\mathbf{B}} := \frac{1}{\sqrt{-g}} \partial_{\kappa} (\sqrt{-g} (g^{\mu\lambda} \bar{\Gamma}_{\mu\lambda}^{\kappa} - g^{\kappa\lambda} \bar{\Gamma}_{\mu\lambda}^{\mu}))$$

Modified gravity models IV – our approach

or similarly

$$\bar{R} = \overbrace{\mathbf{G} + \mathbf{B}}^R + T - B_T + Q + B_Q + \mathbf{C}$$

where

- T is the torsion scalar \rightarrow see $f(T)$ gravity
- Q is the non-metricity scalar \rightarrow see $f(Q)$ gravity
- B_T and B_Q are their respective boundary terms
- \mathbf{C} is a torsion-non-metricity cross term

This decomposition allows us to link various modified theories of gravity.

Modified gravity models V – independent variables

One now has to make an important decision: Choose independent variable.

- GR one chooses the metric g or tetrad e
- spinorial matter \Rightarrow the matter action contains the connection \Rightarrow we have two possibilities
 - treat $\Gamma = \Gamma(g(e), e)$ and vary with respect to e , standard GR
 - treat g, Γ as *independent*, vary with respect to g and Γ separately; this gives a different theory, Einstein-Cartan theory
- metric-affine/Palatini approach means: treat g and Γ as independent
- (also) metric affine: decompose connection Γ into Levi-Civita part, torsion part, non-metricity part then treat $g, \Gamma_{\text{LC}}(g), T, Q$ as independent

Modified gravity models VI

We mentioned previously: early 2000s $f(R)$ gravity, 2007 $f(T)$ gravity, 2015 $f(T, B)$ gravity [CGB et al], 2017 $f(Q)$ gravity, 2019 $f(G)$ gravity ...

The key result is: These theories are not all independent, somewhat contrary to how this is often presented.

First I will discuss the relation between $f(R)$ and $f(T)$; then we will include $f(Q)$.

Look at those similar field equations!

$$f'(\mathbf{G}) \left[G_{\rho\sigma} + \frac{1}{2} g_{\rho\sigma} \mathbf{G} \right] + \frac{1}{2} f''(\mathbf{G}) E_{\rho\sigma}{}^\gamma \partial_\gamma \mathbf{G} - \frac{1}{2} g_{\rho\sigma} f(\mathbf{G}) = \kappa T_{\rho\sigma},$$

$$f'(T) \left[G_{\rho\sigma} - \frac{1}{2} g_{\rho\sigma} T \right] + f''(T) \mathcal{S}_{\rho\sigma}{}^\gamma \partial_\gamma T + \frac{1}{2} g_{\rho\sigma} f(T) = \kappa \Theta_{\rho\sigma},$$

$$f'(Q) \left[G_{\rho\sigma} - \frac{1}{2} g_{\rho\sigma} Q \right] + 2f''(Q) P^\lambda{}_{\rho\sigma} \partial_\lambda Q + \frac{1}{2} g_{\rho\sigma} f(Q) = \kappa T_{\rho\sigma},$$

Palatini approach

Complete modified action with arbitrary function of both the first order term and the boundary term

$$S_{\text{mod}}[g, \bar{\Gamma}] = \frac{1}{2\kappa} \int f(\bar{\mathbf{G}}, \bar{\mathbf{B}}) \sqrt{-g} d^4x + S_{\text{matter}}$$

with the variations δg and $\delta \Gamma$ leading to

$$\begin{aligned}
 -\frac{1}{2}g_{\mu\nu}f + f_{,\bar{\mathbf{G}}}(\bar{G}_{(\mu\nu)} + \frac{1}{2}g_{\mu\nu}\bar{\mathbf{G}}) + \frac{1}{2}\bar{E}_{(\mu\nu)}{}^\lambda \partial_\lambda f_{,\bar{\mathbf{G}}} \\
 + \frac{1}{2}g_{\mu\nu}f_{,\bar{\mathbf{B}}}\bar{\mathbf{B}} - \frac{1}{2}\bar{E}_{(\mu\nu)}{}^\lambda \partial_\lambda f_{,\bar{\mathbf{B}}} = \kappa T_{\mu\nu}
 \end{aligned}$$

$$P^{\mu\nu}{}_\lambda f_{,\bar{\mathbf{G}}} + 2\partial_\rho f_{,\bar{\mathbf{B}}}\delta_\lambda^{[\mu} g^{\rho]\nu} = 2\kappa\Delta^{\mu\nu}{}_\lambda$$

Einstein-Cartan model I

Formulate a modified Einstein-Cartan type model, assuming $f = f(\bar{\mathbf{G}})$ so that $f_{,\bar{\mathbf{B}}} = 0$. This gives

$$-\frac{1}{2}g_{\mu\nu}f + f_{,\bar{\mathbf{G}}}(\bar{\mathbf{G}}_{(\mu\nu)} + \frac{1}{2}g_{\mu\nu}\bar{\mathbf{G}}) + \frac{1}{2}\bar{E}_{(\mu\nu)}{}^\lambda\partial_\lambda f_{,\bar{\mathbf{G}}} = \kappa T_{\mu\nu}$$

$$P^{\mu\nu}{}_\lambda f_{,\bar{\mathbf{G}}} = 2\kappa\Delta^{\mu\nu}{}_\lambda$$

Next, we wish to set non-metricity to zero. However, one cannot simply set $Q = 0$ in the above!

Einstein-Cartan model II

To do this correctly we need a Lagrange multiplier in the action

$$S_Q = \int \frac{1}{2} \lambda^{\mu\nu\rho} \nabla_\mu g_{\nu\rho} \sqrt{-g} d^4x$$

Variations with respect to λ ensure $\nabla_\mu g_{\nu\rho} = 0$. The new field equations thus become

$$\begin{aligned}
 -\frac{1}{2} g_{\mu\nu} f + f_{,\bar{\mathbf{G}}} (\bar{\mathbf{G}}_{(\mu\nu)} + \frac{1}{2} g_{\mu\nu} \bar{\mathbf{G}}) + \frac{1}{2} \bar{E}_{(\mu\nu)}{}^\rho \partial_\rho f_{,\bar{\mathbf{G}}} \\
 = \kappa \left({}^{(\bar{\Gamma})} T_{\mu\nu} + (\nabla_\rho + T^\sigma{}_{\rho\sigma}) \Delta^\rho{}_{(\mu\nu)} \right) \\
 P_{\mu\nu\rho} f_{,\bar{\mathbf{G}}} = 2\kappa \Delta_{\mu[\nu\rho]} .
 \end{aligned}$$

Einstein-Cartan model with boundary term

We now assume $f_{,\bar{\mathbf{B}}} \neq 0$. Then the field equations are

$$\begin{aligned}
 & -\frac{1}{2}g_{\mu\nu}f + f_{,\bar{\mathbf{G}}}(\bar{\mathbf{G}}_{(\mu\nu)} + \frac{1}{2}g_{\mu\nu}\bar{\mathbf{G}}) + \frac{1}{2}\bar{\mathbf{E}}_{(\mu\nu)}{}^\lambda\partial_\lambda f_{,\bar{\mathbf{G}}} \\
 & + \frac{1}{2}g_{\mu\nu}f_{,\bar{\mathbf{B}}}\bar{\mathbf{B}} - \frac{1}{2}\bar{\mathbf{E}}_{(\mu\nu)}{}^\lambda\partial_\lambda f_{,\bar{\mathbf{B}}} = \kappa\left({}^{(\bar{\Gamma})}T_{\mu\nu} + (\nabla_\lambda + T^\sigma{}_{\lambda\sigma})\Delta^\lambda{}_{(\mu\nu)}\right)
 \end{aligned}$$

and the other equation is

$$P_{\mu\nu\rho}f_{,\bar{\mathbf{G}}} + 2g_{\mu[\rho}\partial_{\nu]}f_{,\bar{\mathbf{B}}} = 2\kappa\Delta_{\mu[\nu\rho]}$$

Boundary terms \Rightarrow torsion does not need sources

Let us look at the connection field equation with $\Delta_{\mu[\nu\rho]} = 0$

$$P_{\mu\nu\rho} f_{,\bar{\mathbf{G}}} + 2g_{\mu[\rho} \partial_{\nu]} f_{,\bar{\mathbf{B}}} = 0$$

This equation is algebraic in the Palatini tensor, this tensor can be written in terms of torsion so that

$$T^{\mu}{}_{\lambda\nu} = \frac{1}{f_{,\bar{\mathbf{G}}}} \delta^{\mu}_{[\lambda} \partial_{\nu]} f_{,\bar{\mathbf{B}}}.$$

Therefore, torsion does not vanish in general in source-free regions of spacetime.

Neat cosmological application I

Standard FLRW metric $ds^2 = -dt^2 + a^2(t)(dx^2 + dy^2 + dz^2)$

In cosmology torsion has only 2 independent components

$$T^1_{10} = T^2_{20} = T^3_{30} =: h(t)/a^3(t) \text{ and } T^1_{23} = T^2_{31} = T^3_{12} =: k(t).$$

We consider the simple model $f(\bar{\mathbf{G}}, \bar{\mathbf{B}}) = \bar{\mathbf{G}} + F(\bar{\mathbf{B}})$ and find the following three field equations:

Neat cosmological application II

$$\frac{1}{2}F + 3\frac{\dot{h}}{a^3}F' + 3\frac{h^2}{a^6} = \rho$$

$$-\frac{1}{2}F - 3\frac{\dot{h}}{a^3}F' + \frac{12h}{a^6}(\ddot{h} - 3\frac{\dot{a}}{a}\dot{h})F'' + 2\frac{\dot{h}}{a^3} - \frac{h^2}{a^6} + 4\frac{h}{a^3}\frac{\dot{a}}{a} = p = w\rho$$

where $h(t) = \dot{a}a^2 + \mathfrak{h}(t)$ and the connection equation

$$6\left(\frac{\ddot{h}}{a^3} - 3\frac{\dot{a}}{a}\frac{\dot{h}}{a^3}\right)F'' - 2\frac{h}{a^3}2 - \frac{\dot{a}}{a} = 0$$

Nicely, one can eliminate F from the equations

$$\dot{h} = \frac{1}{2}(1+w)a^3\rho - 3\frac{h^2}{a^3}.$$

Neat cosmological application III

Finally we choose $F(\bar{\mathbf{B}}) = -\beta\bar{\mathbf{B}}^2$ and $w = 0$. Everything pretty much boils down to understanding

$$\frac{\dot{a}}{a} = \frac{\sqrt{2}}{2\sqrt{3}\beta} \frac{Y\sqrt{Y^2 + 2Y - 3}}{Y^2 + 4Y - 1} \quad Y^2 = 1 + \frac{36\beta\rho_0}{a^3}$$

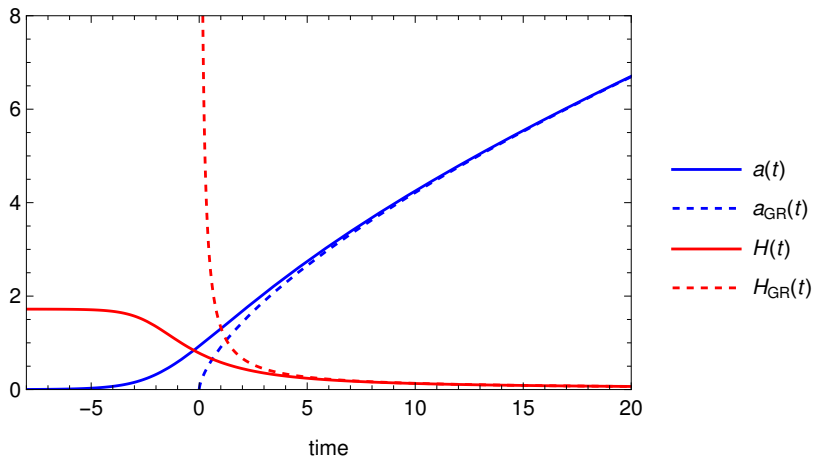
Assuming $a(t) \gg 1$ (late-time universe)

$$\frac{\dot{a}}{a} = \frac{\sqrt{\rho_0}}{\sqrt{3}} \frac{1}{a^{3/2}} + O(a^{-5/2})$$

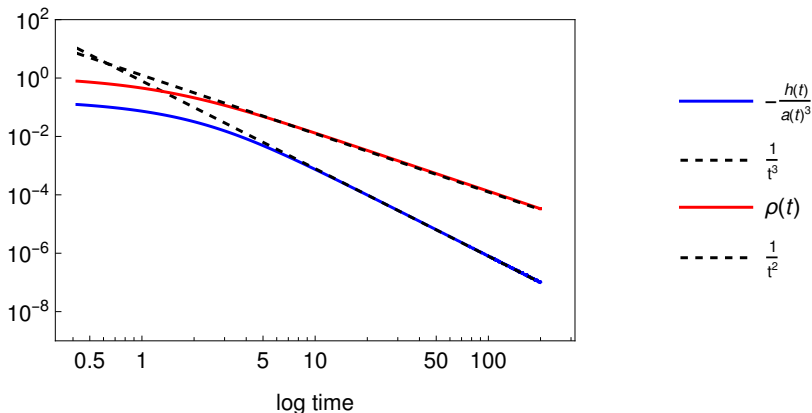
Assuming $a(t) \ll 1$ (early-time universe)

$$\frac{\dot{a}}{a} = \frac{\sqrt{2}}{3\sqrt{3}\sqrt{\beta}} + O(a^{3/2}) \quad \lambda = \frac{\sqrt{2}}{3\sqrt{3}\sqrt{\beta}}$$

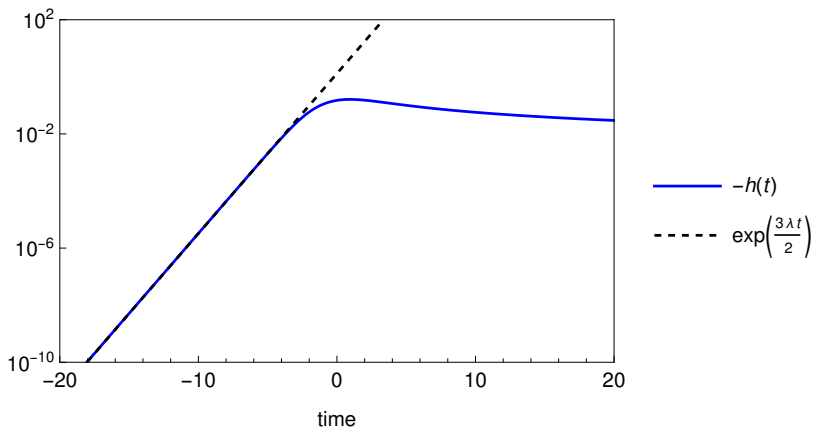
Neat cosmological application – results



Neat cosmological application – results



Neat cosmological application – results



Brief summary

- 1 There are (too) many modified theories of gravity.
- 2 Various different routes of changing the original theory of General Relativity can yield completely different, almost unrelated, theories [compare bosonic string theory with the teleparallel equivalent of GR](#).
- 3 Non-minimal matter couplings allow for even more contrived models, the possibilities are truly endless!
- 4 One can create a framework in which it is possible to study a large variety of different theories. In this framework one can understand how all these theories are related.
- 5 Once this framework is set up, very simple models can yield great results.

Thank you!

‘Modified gravity: a unified approach to metric-affine models’

Journal of Mathematical Physics 64 (2023) 082505 [2301.11051 gr-qc]; 30 pages

Jointly with E. Jenko

doi.org/10.1063/5.0150038

‘Modified gravity: a unified approach’

Physical Review D104 (2021) 024010 [2103.15906 gr-qc]; 34 pages

Jointly with E. Jenko

[doi:10.1103/PhysRevD.104.024010](https://doi.org/10.1103/PhysRevD.104.024010)